

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Mehran Bashiri
Application No.:	09/944668
Filed:	August 31, 2001
For:	Hybrid Balloon Expandable/Self-Expanding Stent
Examiner:	Vy Q. Bui
Group Art Unit:	3732


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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2-9867-US01

STATEMENT OF MICHAEL L. DAVIS

I, Michael L. Davis, hereby request that you add me as an inventor of the above-mentioned application. The error in inventorship occurred without deceptive intention on my part.

9/22/03
Date


Michael L. Davis

UTILITY PATENT
Docket No.: S63.2-9867

DECLARATION

As a below-named inventor, I(we) hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HYBRID BALLOON EXPANDABLE/SELF-EXPANDING STENT

the specification of which was filed on August 31, 2001 under Application No. 09/944668.

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56.

- ☐ In compliance with this duty there is attached an Information Disclosure Statement. 37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

U.S. APPLICATIONS	
APPLICATION NUMBER	U.S. FILING DATE
1.	
2.	

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below.

U.S. APPLICATIONS	
APPLICATION NUMBER	U.S. FILING DATE
1.	
2.	

PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
3.	
4.	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Telephone calls and correspondence should be directed to: James M. Urzedowski, Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, Minnesota 55343-9185, Telephone: (952) 563-3000, Facsimile: (952) 563-3001.

First Inventor

Full name: Michael L. Davis
Inventor's signature: [Signature]
Date: 9/22/03
Citizenship: US
Post office Address: 22020 Stratford Place
Shorewood, MN 55331
Residence:
(If different than above)

Second Inventor

Full name: _____
Inventor's signature: _____
Date: _____
Citizenship: _____
Post office Address: _____
Residence:
(If different than above)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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SEPTEMBER 30, 2003

PTAS

VIDAS, ARRETT & STEINKRAUS, P.A.
JAMES M. URZEDOWSKI
6109 BLUE CIRCLE DRIVE
SUITE 2000
MINNETONKA, MN 55343-9131



70045784

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/26/2003

REEL/FRAME: 014010/0329
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DAVIS, MICHAEL L.

DOC DATE: 09/22/2003

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC.
ONE SCIMED PLACE
MAPLE GROVE, MINNESOTA 55311

SERIAL NUMBER: 09944668
PATENT NUMBER:

FILING DATE: 08/31/2001
ISSUE DATE:

DOROTHY WILLIAMS, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09/26/2003
700045764Form PTO-1593
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael L. Davis

Additional name(s) of conveying party(ies)

attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/22/2003

2. Name and address of receiving party(ies):

Name:

Scimed Life Systems, Inc.

Internal Address:

Street Address: One Scimed Place

Maple Grove MN 55311

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/944668

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James M. Urzedowski

VIDAS, ARRETT & STEINKRAUS, P.A.

Suite 2000

6109 Blue Circle Drive

Minnetonka, MN 55343-9131

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40

Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number: 22-0350

(Attach duplicate of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JAMES M. URZEDOWSKI

Signature

Date

9/26/03

Total number of pages including cover sheet, attachments, and document:

OMB No. 0651-011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

WWW.USPTO.FORMS.PA.ASSON.CVR

E-11 \$40.00 2/0350 09/26/03

UTILITY/DESIGN PATENT
Docket No. S63.2-9867**ASSIGNMENT**

WHEREAS, I(we), **Michael L. Davis** residing at 22020 Stratford Place, Shorewood, MN 55331 have invented and own the entire United States right, title and interest in an invention for:

HYBRID BALLOON EXPANDABLE/SELF-EXPANDING STENT

disclosed in my (our) application for United States Letter Patent filed on August 31, 3001 under Application No. 09/944668

I (we) hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185, to insert the filing date and application number of said application above when known.

WHEREAS, **Scimed Life Systems, Inc.** ("Assignee"), a corporation having its principal place of business at One Scimed Place, Maple Grove, MN 55311 is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: 9/22/03

First Inventor's Signature:

First Inventor's Name:


Michael L. Davis

S

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Mehran Bashiri
Application No.:	09/944668
Filed:	August 31, 2001
For:	Hybrid Balloon Expandable/Self-Expanding Stent
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P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2-9867-US01

CONSENT OF ASSIGNEE UNDER 37 C.F.R. §3.73

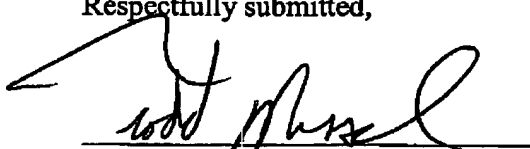
The undersigned, Scimed Life Systems, Inc., the owner of the entire right, title and interest in and to U.S. Patent Application Serial No. 09/944668, entitled *Hybrid Balloon Expandable/Self-Expanding Stent*, filed August 31, 2001, hereby consents to the filing of the concurrently filed Petition to Correct Inventorship.

Pursuant to 37 C.F.R. §3.73, Scimed Life Systems, Inc. certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an Assignment from the inventors of the patent application identified above. A copy of the Assignment is submitted herewith, the original of which has been submitted for recordation.

Respectfully submitted,

Date:

9/22/03



Todd P. Messal, Reg. No. 42,883
Scimed Life Systems, Inc.
One Scimed Place, M.S. A150
Maple Grove, MN 55311-1566
Telephone: (612) 494-2880

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